

Appendix M

Estimated Impact of Hospital Outpatient
Access on Therapy Caps by Gender:
CY 2002

Key to Appendix M:

The tables in Appendix M estimate the impact the hospital outpatient therapy exception may have had on beneficiary likelihood to surpass the financial limitations had they been enforced in CY 2002.

The first column in each table represents the gender group for the particular type of cap (PT, OT, SLP separate or PT/SLP combined).

The “Total Part B enrollees” column identifies the entire population of Beneficiaries during CY 2002 that were enrolled in Part B for at least one month.

The “Beneficiaries receiving (therapy) services” column represents the total number of beneficiaries within each subgroup that received the particular outpatient therapy service in CY 2002.

The “Percent of beneficiaries receiving (therapy) services” column represents the proportion of all Part B enrollees within each gender group that accessed outpatient therapy services in CY 2002.

The “Beneficiaries surpassing (therapy) cap threshold” column represents the estimated number of beneficiaries in each subgroup that surpassed the financial limitations had they been enforced in CY 2002.

The “Percentage of patients surpassing (therapy) cap threshold” column represents the estimated percentage of beneficiaries in each subgroup that surpassed the financial limitations had they been enforced in CY 2002 (“Percentage of patients surpassing (therapy) cap threshold” = “Beneficiaries surpassing (therapy) cap threshold” / “Beneficiaries receiving (therapy) services”).

The “Hospital not accessed” row represents the beneficiaries that received outpatient therapy services during CY 2002 but could not, or chose not to receive any of these services from a hospital outpatient provider. Since none of the therapy furnished to these individuals was from a hospital outpatient provider, all incurred expenses were debited against the applicable cap estimate.

The “Hospital accessed” row represents beneficiaries that received at least one outpatient therapy treatment from an outpatient hospital provider during CY 2002. The only incurred expenses debited against the applicable cap estimate were for services furnished by an outpatient therapy provider that was not identified as a hospital¹.

¹ This debiting process is consistent with Common Working File (CWF) financial limitation tracking procedures described in the Medicare Claims Processing Manual (Pub. 104), Ch. 5, Section 10.2 *The Financial Limitation*.

The “% Not using hospital” row represents the distribution of beneficiaries that either could not, or chose not to access outpatient therapy services from an outpatient hospital provider during CY 2002. In addition, this row identifies the distribution of beneficiaries surpassing the cap thresholds that either could not, or chose not to access outpatient therapy services from an outpatient hospital provider during CY 2002.

Appendix M-Table 1 Estimated Impact of Hospital Outpatient Access on PT Cap by Gender: CY 2002

| Gender group | Total Part B enrollees | Beneficiaries receiving PT services | Percent of beneficiaries receiving PT | Beneficiaries surpassing PT cap threshold | Percent of patients surpassing PT cap threshold |
|-----------------------|------------------------|-------------------------------------|---------------------------------------|---|---|
| Total | 40,108,890 | 3,296,407 | 8.2% | 463,893 | 14.1% |
| Hospital not accessed | | 2,087,979 | | 435,280 | 20.8% |
| Hospital accessed | | 1,208,428 | | 28,613 | 2.4% |
| % Not using hospital | | 63.3% | | 93.8% | |
| Female | 22,879,381 | 2,183,319 | 9.5% | 312,048 | 14.3% |
| Hospital not accessed | | 1,396,872 | | 292,906 | 21.0% |
| Hospital accessed | | 786,447 | | 19,142 | 2.4% |
| % Not using hospital | | 64.0% | | 93.9% | |
| Male | 17,229,509 | 1,113,084 | 6.5% | 151,844 | 13.6% |
| Hospital not accessed | | 691,103 | | 142,373 | 20.6% |
| Hospital accessed | | 421,981 | | 9,471 | 2.2% |
| % Not using hospital | | 62.1% | | 93.8% | |

Appendix M-Table 2 Estimated Impact of Hospital Outpatient Access on OT Cap by Gender: CY 2002

| Gender group | Total Part B enrollees | Beneficiaries receiving OT services | Percent of beneficiaries receiving OT | Beneficiaries surpassing OT cap threshold | Percent of patients surpassing OT cap threshold |
|-----------------------|------------------------|-------------------------------------|---------------------------------------|---|---|
| Total | 40,108,890 | 745,240 | 1.9% | 129,509 | 17.4% |
| Hospital not accessed | | 499,837 | | 126,488 | 25.3% |
| Hospital accessed | | 245,403 | | 3,021 | 1.2% |
| % Not using hospital | | 67.1% | | 97.7% | |
| Female | 22,879,381 | 510,081 | 2.2% | 91,681 | 18.0% |
| Hospital not accessed | | 355,376 | | 89,594 | 25.2% |
| Hospital accessed | | 154,705 | | 2,087 | 1.3% |
| % Not using hospital | | 69.7% | | 97.7% | |
| Male | 17,229,509 | 235,156 | 1.4% | 37,826 | 16.1% |
| Hospital not accessed | | 144,458 | | 36,892 | 25.5% |
| Hospital accessed | | 90,698 | | 934 | 1.0% |
| % Not using hospital | | 61.4% | | 97.5% | |

Appendix M – Estimated Impact of Hospital Access on Caps by Gender

Utilization Analysis – High Expenditures Use of Therapy Services CY 2002 Beneficiary Characteristics

Outpatient Rehabilitation Services Payment System Evaluation Contract

Task Order # 500-99-0009/0009

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Appendix M-Table 3 Estimated Impact of Hospital Outpatient Access on SLP Cap by Gender: CY 2002

| Gender group | Total Part B enrollees | Beneficiaries receiving SLP services | Percent of beneficiaries receiving SLP | Beneficiaries surpassing SLP cap threshold | Percent of patients surpassing SLP cap threshold |
|-----------------------|------------------------|--------------------------------------|--|--|--|
| Total | 40,108,890 | 367,783 | 0.9% | 41,765 | 11.4% |
| Hospital not accessed | | 220,152 | | 37,116 | 16.9% |
| Hospital accessed | | 147,631 | | 4,649 | 3.1% |
| % Not using hospital | | 59.9% | | 88.9% | |
| Female | 22,879,381 | 231,647 | 1.0% | 27,547 | 11.9% |
| Hospital not accessed | | 152,658 | | 24,882 | 16.3% |
| Hospital accessed | | 78,989 | | 2,665 | 3.4% |
| % Not using hospital | | 65.9% | | 90.3% | |
| Male | 17,229,509 | 136,135 | 0.8% | 14,217 | 10.4% |
| Hospital not accessed | | 67,493 | | 12,233 | 18.1% |
| Hospital accessed | | 68,642 | | 1,984 | 2.9% |
| % Not using hospital | | 49.6% | | 86.0% | |

Appendix M-Table 4 Estimated Impact of Hospital Outpatient Access on PT/SLP Cap by Gender: CY 2002

| Gender group | Total Part B enrollees | Beneficiaries receiving PT/SLP services | Percent of beneficiaries receiving PT/SLP | Beneficiaries surpassing PT/SLP cap threshold | Percent of patients surpassing PT/SLP cap threshold |
|-----------------------|------------------------|---|---|---|---|
| Total | 40,108,890 | 3,503,136 | 8.7% | 508,686 | 14.5% |
| Hospital not accessed | | 2,187,838 | | 469,850 | 21.5% |
| Hospital accessed | | 1,315,298 | | 38,836 | 3.0% |
| % Not using hospital | | 62.5% | | 92.4% | |
| Female | 22,879,381 | 2,314,385 | 10.1% | 342,293 | 14.8% |
| Hospital not accessed | | 1,470,354 | | 317,328 | 21.6% |
| Hospital accessed | | 844,031 | | 24,965 | 3.0% |
| % Not using hospital | | 63.5% | | 92.7% | |
| Male | 17,229,509 | 1,188,747 | 6.9% | 166,392 | 14.0% |
| Hospital not accessed | | 717,480 | | 152,521 | 21.3% |
| Hospital accessed | | 471,267 | | 13,871 | 2.9% |
| % Not using hospital | | 60.4% | | 91.7% | |

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Utilization Analysis – High Expenditures Use of Therapy Services CY 2002 Beneficiary Characteristics

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